

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Not for submission under 37 CFR 1.99)

Application Number		10804883
Filing Date		2004-03-19
First Named Inventor	Rene J. Valdes et al.	
Art Unit	2626	
Examiner Name	R. Dorvil	
Attorney Docket Number	M61.12-0609	

**U.S. PATENTS**[Remove](#)

Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1	4384329		1983-05-17	Rosenbaum et al.	
	2	4688192		1987-08-18	Yoshimura et al.	
	3	4703425		1987-10-27	Muraki	
	4	4724523		1988-02-09	Kucera	
	5	4736296		1998-04-05	Katayama et al.	
	6	4771385		1998-09-13	Egami et al.	
	7	4868750		1989-09-19	Kucera	
	8	4991135		1991-02-05	Yoshimura et al.	

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number		10804883
Filing Date		2004-03-19
First Named Inventor	Rene J. Valdes et al.	
Art Unit	2626	
Examiner Name	R. Dorvil	
Attorney Docket Number	M61.12-0609	

9	5056021		1991-10-08	Ausborn	
10	5289376		1994-02-22	Yokogawa	
11	5611076		1997-03-11	Durlinger et al.	
12	5642522		1997-06-24	Zaenen et al.	
13	6708829		1998-01-13	Kadashevich et al.	
14	5715468		1998-02-03	Budzinski	
15	5761688		1998-06-02	Morishita	
16	5789057		1998-08-04	Naitou et al.	
17	5867812		1999-02-02	Sassano	
18	5995992		1999-11-30	Ekard	
19	6021409		2000-02-01	Burrows	

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	10804883
Filing Date	2004-03-19
First Named Inventor	Rene J. Valdes et al.
Art Unit	2626
Examiner Name	R. Dorvil
Attorney Docket Number	M61.12-0609

20	6081774		2000-06-27	De Hita et al.	
21	6138087		2000-10-24	Budzinski	
22	6278967		2001-08-21	Akers et al.	
23	6298321		2001-10-02	Karlov et al.	
24	6675169		2004-01-06	Bennett et al.	
25	6735559		2004-05-11	Takazawa	
26	6760695		2004-07-06	Kuno et al.	
27	6792418		2004-09-14	Binnig et al.	

If you wish to add additional U.S. Patent citation information please click the Add button.

Add

**U.S. PATENT APPLICATION PUBLICATIONS**

Remove

Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1	20030204392		2003-10-30	Finnigan	

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT** ( Not for submission under 37 CFR 1.99)

Application Number	10804883
Filing Date	2004-03-19
First Named Inventor	Rene J. Valdes et al.
Art Unit	2626
Examiner Name	R. Dorvil
Attorney Docket Number	M61.12-0609

2	20050091030	A1	2005-04-28	Jessee	
3	20050091031	A1	2005-04-28	Powell	

If you wish to add additional U.S. Published Application citation information please click the Add button. [Add](#)

## **FOREIGN PATENT DOCUMENTS**

[Remove](#)

Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country Code <sup>2</sup>	Kind Code <sup>4</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	T <sup>5</sup>
	1	0 539 965	EP	A2	1993-05-05	Kugimiya		<input type="checkbox"/>

If you wish to add additional Foreign Patent Document citation information please click the Add button. [Add](#)

## **NON-PATENT LITERATURE DOCUMENTS**

[Remove](#)

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>5</sup>
	1	Boguraev et al., B., "A Multi-Purpose Interface to an On-line Dictionary", European Chapter Meeting of the ACL, Proceedings of the third conference on European chapter of the Association for Computational Linguistics, pages 63-69, April 1987.	<input type="checkbox"/>
	2	Fredkin, E., "Trie Memory" Communications of the Association for Computing Machinery, Vol. 3, No. 9, pages 490-498, September 1960.	<input type="checkbox"/>
	3	Nagao et al., M., "An Attempt to Computerized Dictionary Data Bases", International Conference On Computational Linguistics, Proceedings of the 8th conference on Computational Linguistics, pages 534 - 542, September-October 1980.	<input type="checkbox"/>
	4	Ntoulas et al., A., "Use of a Morphosyntactic Lexicon as the Basis for the Implementation of the Greek Wordnet" Lecture Notes in Computer Science, proceedings of Natural Language Processing - NLP 2000. Second International Conference, published by Springer Berlin / Heidelberg, pages 49-56, June 2000.	<input type="checkbox"/>

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	10804883
Filing Date	2004-03-19
First Named Inventor	Rene J. Valdes et al.
Art Unit	2626
Examiner Name	R. Dorvil
Attorney Docket Number	M61.12-0609

5	Wells et al., C. J., "Fast Dictionary Look-up for Contextual Word Recognition", Pattern Recognition, Vol. 23, No. 5, pages 501-508, June 1990.	<input type="checkbox"/>
---	--	--------------------------

If you wish to add additional non-patent literature document citation information please click the Add button

**EXAMINER SIGNATURE**

Examiner Signature	Date Considered
--------------------	-----------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> See Kind Codes of USPTO Patent Documents at [www.USPTO.GOV](http://www.USPTO.GOV) or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	10804883
Filing Date	2004-03-19
First Named Inventor	Rene J. Valdes et al.
Art Unit	2626
Examiner Name	R. Dorvil
Attorney Docket Number	M61.12-0609

**CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

**OR**

☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

- ☐ See attached certification statement.
- ☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- ☒ None

**SIGNATURE**

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/John D. Veldhuis-Kroeze/	Date (YYYY-MM-DD)	2007-02-26
Name/Print	John D. Veldhuis-Kroeze	Registration Number	38,354

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

## Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.